

ASSET DOCUMENTATION

To proceed properly with your estate plan, you need to provide specific information regarding your personal assets. Please do not list assets held in a corporate name. You can either fill out the answers in the appropriate spaces provided below, or provide photocopies of documents, such as bank statements, brokerage account statements, 1099 forms you receive, etc., containing all the requested information. If a financial statement has been prepared for you recently, please provide a copy.

SCHEDULE A—REAL ESTATE

California Property:

Please provide the following information about all real property (including timeshares, rental property or farmland) you and your spouse own as individuals (not as general or limited partners), located in California. Separate residential and investment property and note which is which. For rental properties owned by you and/or your spouse, please provide the name, address and telephone number of the property manager, if any. Note where documents relating to the property are kept and please provide a photocopy of the most recent Grant Deed and any Deed of Trust.

1. Name of Owner exactly as shown on the Grant Deed (after hereby grants to):

EXAMPLES: John Doe and Jane Doe, husband and wife
John Doe and Jane Doe, his wife
John Doe and Jane Doe, as joint tenants
Jane Doe, as separate property
John Doe and Jane Doe, as community property
John Doe and Jane Doe, as tenants in common
John Doe, Sr., as to an undivided four-fifths interests, and John Doe, Jr., as to an undivided one-fifth interest

Property address: _____

Name of Lender: _____

Address of Lender: _____

Loan Number: _____

Assessors Parcel Number (APN): _____

(The APN will sometimes appear on your grant deed. It will always appear on your real property tax statement.)

Form of ownership (circle one):

Joint Tenancy	Tenants in Common	Community Property	Husband's Separate	Wife's Separate
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Name Property Manager: _____

Address: _____

Telephone Number: _____

If there is mortgage life insurance, note that here: _____

2. Name of Owner exactly as shown on the Grant Deed (after hereby grants to):

Property address: _____

Name of Lender: _____

Address of Lender: _____

Loan Number: _____

Assessors Parcel Number (APN): _____

(The APN will sometimes appear on your grant deed. It will always appear on your real property tax statement.)

Form of ownership (circle one):

Joint Tenancy Tenants in Common Community Property Husband's Separate Wife's Separate

Name Property Manager: _____

Address: _____

Telephone Number: _____

If there is mortgage life insurance, note that here: _____

3. Name of Owner exactly as shown on the Grant Deed (after hereby grants to):

Property address: _____

Name of Lender: _____

Address of Lender: _____

Loan Number: _____

Assessors Parcel Number (APN): _____

(The APN will sometimes appear on your grant deed. It will always appear on your real property tax statement.)

Form of ownership (circle one):

Joint Tenancy Tenants in Common Community Property Husband's Separate Wife's Separate

Name Property Manager: _____

Address: _____

Telephone Number: _____

If there is mortgage life insurance, note that here: _____

4. Name of Owner exactly as shown on the Grant Deed (after hereby grants to):

Property address: _____

Name of Lender: _____

Address of Lender: _____

Loan Number: _____

Assessors Parcel Number (APN): _____

(The APN will sometimes appear on your grant deed. It will always appear on your real property tax statement.)

Form of ownership (circle one):

Joint Tenancy	Tenants in Common	Community Property	Husband's Separate	Wife's Separate
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Name Property Manager: _____

Address: _____

Telephone Number: _____

If there is mortgage life insurance, note that here: _____

Foreign Property:

If you and/or your spouse own real property in another state or country, please provide all the following information for each property. The requested information includes the name, address and phone number of a title company in the county in which your property is located, and the County Recorder/Clerk's office for the county in which your property is located. If possible, please provide photocopies of Grant Deeds or Deeds of Trust.

1. Name of Owner exactly as shown on the Grant Deed: _____

Property address: _____

Name of Lender: _____

Address of Lender: _____

Loan Number: _____

Assessor's Parcel Number (APN): _____

Name of Title Company: _____

Title Company's Address: _____

Title Company's Telephone Number: _____

County in Which Property Located: _____

County Recorder/Clerk: _____

Form of ownership (circle one):

Joint Tenancy Tenants in Common Community Property Husband's Separate Wife's Separate

Name of Property Manager: _____

Address: _____

Telephone Number: _____

If there is mortgage life insurance, note that here: _____

2. Name of Owner exactly as shown on the Grant Deed: _____

Property address: _____

Name of Lender: _____

Address of Lender: _____

Loan Number: _____

Assessor's Parcel Number (APN): _____

Name of Title Company: _____

Title Company's Address: _____

Title Company's Telephone Number: _____

County in Which Property Located: _____

County Recorder/Clerk: _____

Form of ownership (circle one):

Joint Tenancy	Tenants in Common	Community Property	Husband's Separate	Wife's Separate
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Name of Property Manager: _____

Address: _____

Telephone Number: _____

If there is mortgage life insurance, note that here: _____

SCHEDULE B—MARKETABLE SECURITIES

(STOCKS, BONDS, MUTUAL FUND SHARES, TREASURY INSTRUMENTS)

Securities Accounts:

For all securities accounts, please supply the requested information, including the exact title of the account:

EXAMPLES: John Doe and Jane Doe as Joint Tenants
John Doe and Jane Doe as Community Property
Jane Doe as Separate Property

Alternatively, please provide a photocopy of a recent monthly statement, which will contain all of the requested information.

1. Name of Brokerage: _____

Brokerage Address: _____

Telephone Number: _____

Fax Number: _____

E-mail address: _____

Account Number: _____

Account Registration: _____

Account Representative's Name: _____

Form of ownership (circle one):

Joint Tenancy	Tenants in Common	Community Property	Husband's Separate	Wife's Separate
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Location of statements and purchase information for individual securities:

2. Name of Brokerage: _____

Brokerage Address: _____

Telephone Number: _____

Fax Number: _____

E-mail address: _____

Account Number: _____

Account Registration: _____

Account Representative's Name: _____

Form of ownership (circle one):

Joint Tenancy	Tenants in Common	Community Property	Husband's Separate	Wife's Separate
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Location of statements and purchase information for individual securities:

3. Name of Brokerage: _____

Brokerage Address: _____

Telephone Number: _____

Fax Number: _____

E-mail address: _____

Account Number: _____

Account Registration: _____

Account Representative's Name: _____

Form of ownership (circle one):

Joint Tenancy Tenants in Common Community Property Husband's Separate Wife's Separate

Location of statements and purchase information for individual securities:

4. Name of Brokerage: _____

Brokerage Address: _____

Telephone Number: _____

Fax Number: _____

E-mail address: _____

Account Number: _____

Account Registration: _____

Account Representative's Name: _____

Form of ownership (circle one):

Joint Tenancy Tenants in Common Community Property Husband's Separate Wife's Separate

Location of statements and purchase information for individual securities:

5. Name of Brokerage: _____

Brokerage Address: _____

Telephone Number: _____

Fax Number: _____

E-mail address: _____

Account Number: _____

Account Registration: _____

Account Representative's Name: _____

Form of ownership (circle one):

Joint Tenancy	Tenants in Common	Community Property	Husband's Separate	Wife's Separate
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Location of statements and purchase information for individual securities:

6. Name of Brokerage: _____

Brokerage Address: _____

Telephone Number: _____

Fax Number: _____

E-mail address: _____

Account Number: _____

Account Registration: _____

Account Representative's Name: _____

Form of ownership (circle one):

Joint Tenancy	Tenants in Common	Community Property	Husband's Separate	Wife's Separate
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Location of statements and purchase information for individual securities:

7. Name of Brokerage: _____

Brokerage Address: _____

Telephone Number: _____

Fax Number: _____

E-mail address: _____

Account Number: _____

Account Registration: _____

Account Representative's Name: _____

Form of ownership (circle one):

Joint Tenancy	Tenants in Common	Community Property	Husband's Separate	Wife's Separate
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Location of statements and purchase information for individual securities:

Securities Held in Certificate Form:

For stocks and bonds held outside a brokerage account (i.e., you or your spouse have the certificates), please supply the requested information, including the exact title of the owner as it appears on the stock certificate or bond:

EXAMPLES: John Doe and Jane Doe as Joint Tenants
John Doe and Jane Doe as Community Property
John Doe as Separate Property

Also please provide a photocopy of each stock certificate or bond.

1. Full Name of Issuing Company as it appears on stock certificate:

Full Name of Owner exactly as it appears on stock certificate:

<u>Certificate Number</u>	<u>Number of Shares on Certificate</u>	<u>Common or Preferred</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

Form of ownership (circle one):

Joint Tenancy
 Tenants in Common
 Community Property
 Husband's Separate
 Wife's Separate

Location of statements and purchase information for individual securities:

2. Full Name of Issuing Company as it appears on stock certificate:

Full Name of Owner exactly as it appears on stock certificate:

<u>Certificate Number</u>	<u>Number of Shares on Certificate</u>	<u>Common or Preferred</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

Form of ownership (circle one):

Joint Tenancy
 Tenants in Common
 Community Property
 Husband's Separate
 Wife's Separate

Location of statements and purchase information for individual securities:

3. Full Name of Issuing Company as it appears on stock certificate:

Full Name of Owner exactly as it appears on stock certificate:

<u>Certificate Number</u>	<u>Number of Shares on Certificate</u>	<u>Common or Preferred</u>
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Form of ownership (circle one):

Joint Tenancy	Tenants in Common	Community Property	Husband's Separate	Wife's Separate
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Location of statements and purchase information for individual securities:

SCHEDULE C—BANK ACCOUNTS

For all cash accounts, please supply the information requested below, including the exact title of the account.

EXAMPLES: John Doe and Jane Doe as Joint Tenants.
John Doe and Jane Doe as Community Property

Please provide a contact person at the financial institution if possible. If possible, please indicate whose funds created the account. If the account is held in trust for someone else, indicate this fact by adding "ITF" to the name on the account. Alternatively, please provide a photocopy of a recent monthly statement, which will contain all the requested information.

If the account has a passbook or certificate, note where it is located. Also state where monthly statements are kept for the bank and money market accounts.

1. Name of Institution: _____
Address: _____

Account Number: _____
Exact Title of Account: _____
Name of Contact Person: _____
Type of Account: _____
Location of Documents Evidencing Account: _____

2. Name of Institution: _____
Address: _____

Account Number: _____

Exact Title of Account: _____

Name of Contact Person: _____

Type of Account: _____

Location of Documents Evidencing Account: _____

3. Name of Institution: _____

Address: _____

Account Number: _____

Exact Title of Account: _____

Name of Contact Person: _____

Type of Account: _____

Location of Documents Evidencing Account: _____

4. Name of Institution: _____

Address: _____

Account Number: _____

Exact Title of Account: _____

Name of Contact Person: _____

Type of Account: _____

Location of Documents Evidencing Account: _____

5. Name of Institution: _____

Address: _____

Account Number: _____

Exact Title of Account: _____

Name of Contact Person: _____

Type of Account: _____

Location of Documents Evidencing Account: _____

6. Name of Institution: _____

Address: _____

Account Number: _____

Exact Title of Account: _____

Name of Contact Person: _____

Type of Account: _____

Location of Documents Evidencing Account: _____

7. Name of Institution: _____

Address: _____

Account Number: _____

Exact Title of Account: _____

Name of Contact Person: _____

Type of Account: _____

Location of Documents Evidencing Account: _____

8. Name of Institution: _____

Address: _____

Account Number: _____

Exact Title of Account: _____

Name of Contact Person: _____

Type of Account: _____

Location of Documents Evidencing Account: _____

SCHEDULE D—LOANS, NOTES, AND MORTGAGES RECEIVABLE

Notes Payable to You:

1. Exact name of holder as it appears on the note: _____

Exact name of debtor: _____

Face amount: _____

Due date: _____

Interest rate: _____

Collateral securing note (if any): _____

Location of original note: _____

2. Exact name of holder as it appears on the note: _____

Exact name of debtor: _____

Face amount: _____

Due date: _____

Interest rate: _____

Collateral securing note (if any): _____

Location of original note: _____

3. Exact name of holder as it appears on the note: _____

Exact name of debtor: _____

Face amount: _____

Due date: _____

Interest rate: _____

Collateral securing note (if any): _____

Location of original note: _____

SCHEDULE E—LIFE INSURANCE

For each life insurance policy owned by you and/or your spouse, please supply the requested information, including the exact name of the owner:

EXAMPLES: John Doe and Jane Doe as Joint Tenants
John Doe and Jane Doe, Husband and Wife
John Doe as Separate Property

Alternatively, please provide a photocopy of the front page of the policy, which will contain the requested information, and please provide a copy of the current beneficiary designation.

1. Carrier's Name: _____

Carrier's Address: _____

Policy No.: _____

Face Value: _____

Name of Insured: _____

Owner of Policy: _____

Primary Beneficiary: _____

Contingent Beneficiary: _____

Type (circle one): Term Universal Life Whole Life

Policy Loans: _____

How Are Dividends Used? _____

How Are Premiums Paid? _____

Location of Policy Documents (including current beneficiary designation):

2. Carrier's Name: _____
Carrier's Address: _____

Policy No.: _____
Face Value: _____
Name of Insured: _____
Owner of Policy: _____
Primary Beneficiary: _____
Contingent Beneficiary: _____
Type (circle one): Term Universal Life Whole Life
Policy Loans: _____
How Are Dividends Used? _____
How Are Premiums Paid? _____
Location of Policy Documents (including current beneficiary designation):

3. Carrier's Name: _____
Carrier's Address: _____

Policy No.: _____
Face Value: _____
Name of Insured: _____
Owner of Policy: _____
Primary Beneficiary: _____

Contingent Beneficiary: _____

Type (circle one): Term Universal Life Whole Life

Policy Loans: _____

How Are Dividends Used? _____

How Are Premiums Paid? _____

Location of Policy Documents (including current beneficiary designation):

4. Carrier's Name: _____

Carrier's Address: _____

Policy No.: _____

Face Value: _____

Name of Insured: _____

Owner of Policy: _____

Primary Beneficiary: _____

Contingent Beneficiary: _____

Type (circle one): Term Universal Life Whole Life

Policy Loans: _____

How Are Dividends Used? _____

How Are Premiums Paid? _____

Location of Policy Documents (including current beneficiary designation):

SCHEDULE F—JOINT INTERESTS

Please list all property, etc., in which you or your spouse have a joint interest with another person, including your spouse:

1. Type of Property (Schedule on which listed): _____
Name of Co-Owner(s): _____
Relationship to You: _____
% Contributed by You to Acquisition: _____
Nature of your funds used for acquisition (e.g., community property, separate property): _____
2. Type of Property (Schedule on which listed): _____
Name of Co-Owner(s): _____
Relationship to You: _____
% Contributed by You to Acquisition: _____
Nature of your funds used for acquisition (e.g., community property, separate property): _____
3. Type of Property (Schedule on which listed): _____
Name of Co-Owner(s): _____
Relationship to You: _____
% Contributed by You to Acquisition: _____
Nature of your funds used for acquisition (e.g., community property, separate property): _____
4. Type of Property (Schedule on which listed): _____
Name of Co-Owner(s): _____

Relationship to You: _____

% Contributed by You to Acquisition: _____

Nature of your funds used for acquisition (e.g., community property, separate property): _____

5. Type of Property (Schedule on which listed): _____

Name of Co-Owner(s): _____

Relationship to You: _____

% Contributed by You to Acquisition: _____

Nature of your funds used for acquisition (e.g., community property, separate property): _____

6. Type of Property (Schedule on which listed): _____

Name of Co-Owner(s): _____

Relationship to You: _____

% Contributed by You to Acquisition: _____

Nature of your funds used for acquisition (e.g., community property, separate property): _____

7. Type of Property (Schedule on which listed): _____

Name of Co-Owner(s): _____

Relationship to You: _____

% Contributed by You to Acquisition: _____

Nature of your funds used for acquisition (e.g., community property, separate property): _____

SCHEDULE G—PERSONAL, HOUSEHOLD, ETC. PROPERTY

Personal Property:

List all personal property of significant value, including, for example, antiques, artwork, other collectibles, jewelry:

Household furniture and furnishings:

Location of inventory list: _____

Jewelry:

Location of inventory list: _____

If jewelry is listed on insurance policy, note location of policy: _____

Automobiles:

1. Model and license no: _____

Name on registration: _____

Leased or owned: _____

If there is a loan on this automobile, indicate due date: _____

2. Model and license no: _____

Name on registration: _____

Leased or owned: _____

If there is a loan on this automobile, indicate due date: _____

3. Model and license no: _____

Name on registration: _____

Leased or owned: _____

If there is a loan on this automobile, indicate due date: _____

Collections: If you have any collections (such as Art, Stamp, Coin, Gun), describe here and indicate whether the collection is specially insured:

Other Property Not Listed Above (Motorcycles, Boats, Etc.): Describe here and indicate pertinent information (location, special insurance, etc.):

SCHEDULE H—TRUSTS AND GIFTS

Trusts created by you:

If a gift tax return was filed in connection with the transfer of assets to the trust, note the year for which the return was filed and indicate if any tax was paid, and whether to the IRS or the state. Indicate the type of trust created (insurance, minor's trust, QTIP, etc.).

1. Trustee(s): _____

Date of Trust: _____

Beneficiaries: _____

Type of Trust: _____

Gift Tax Information: _____

2. Trustee(s): _____

Date of Trust: _____

Beneficiaries: _____

Type of Trust: _____

Gift Tax Information: _____

3. Trustee(s): _____

Date of Trust: _____

Beneficiaries: _____

Type of Trust: _____

Gift Tax Information: _____

Trusts created for your benefit or for the benefit of your family, or in which you are a trustee:

1. Grantor(s): _____
Trustee(s): _____
Date of Trust: _____
Type of Beneficial Interest: _____

2. Grantor(s): _____
Trustee(s): _____
Date of Trust: _____
Type of Beneficial Interest: _____

3. Grantor(s): _____
Trustee(s): _____
Date of Trust: _____
Type of Beneficial Interest: _____

Gifts to Children:

List gifts you have made to minor children pursuant to UGMA (Uniform Gifts to Minors Act) or UTMA (Uniform Transfers to Minors Act) for which you are the custodian:

Gifts to Others:

List gifts you have made to others falling outside of the annual gift exclusion amount and/or for which you have filed gift tax returns:

SCHEDULE I—GENERAL POWERS OF APPOINTMENT

	<u>Instrument Creating Power*</u>	<u>Date of Creation</u>	<u>Value of Property Subject to Power</u>
1.	_____	_____	_____

2.	_____	_____	_____

3.	_____	_____	_____

4.	_____	_____	_____

5.	_____	_____	_____

6.	_____	_____	_____

7.	_____	_____	_____

8.	_____	_____	_____

9.	_____	_____	_____

* Attach copy of document which creates power.

**SCHEDULE J—RETIREMENT AND OTHER EMPLOYMENT BENEFITS,
INDIVIDUAL RETIREMENT ACCOUNTS (IRAS) OR KEOGH ACCOUNTS**

Retirement and Employment Benefits

For all employee benefits provided to you or your spouse, please provide the following information, or a photocopy of the most recent statement containing all the requested information. Also please provide a copy of the current beneficiary designation.

Pensions or Corporate Retirement Plans

1. Name of Employer: _____

Address of Employer: _____

Name of Plan: _____

Name of Plan Administrator: _____

Address of Plan Administrator: _____

Name of Primary Beneficiary: _____

Name of Contingent Beneficiary: _____

2. Name of Employer: _____

Address of Employer: _____

Name of Plan: _____

Name of Plan Administrator: _____

Address of Plan Administrator: _____

Name of Primary Beneficiary: _____

Name of Contingent Beneficiary: _____

Keogh Plans

1. Participant's Name: _____

Account No.: _____

Name of Custodial Institution: _____

Address of Custodial Institution: _____

Name of Primary Beneficiary: _____

Name of Contingent Beneficiary: _____

2. Participant's Name: _____

Account No.: _____

Name of Custodial Institution: _____

Address of Custodial Institution: _____

Name of Primary Beneficiary: _____

Name of Contingent Beneficiary: _____

Deferred Compensation Contracts

1. Amount and timing of expected payout: _____

Substantial contingencies which must be met for payout:

2. Amount and timing of expected payout: _____

Substantial contingencies which must be met for payout:

Stock Options

1. Option Price: _____

Current Value of Stock: _____

Restriction(s) on exercise of option: _____

2. Option Price: _____
Current Value of Stock: _____
Restriction(s) on exercise of option: _____

Group Term Life Insurance

1. Insurer: _____
Amount of Insurance: _____
Name of Primary Beneficiary: _____
Name of Contingent Beneficiary: _____
Accident Coverage: _____
2. Insurer: _____
Amount of Insurance: _____
Name of Primary Beneficiary: _____
Name of Contingent Beneficiary: _____
Accident Coverage: _____

Health (Medical) Insurance

1. Insurer: _____
Brief Description of Coverage: _____

2. Insurer: _____
Brief Description of Coverage: _____

Individual Retirement Accounts (IRAs)

For all Individual Retirement Accounts (IRAs), please provide the requested information. Alternatively, please provide a photocopy of the most recent annual statement, which will contain all of the requested information. Also please provide a copy of the current beneficiary designation.

Mutual Funds

1. Participant's Name: _____

Name of Custodial Institution: _____

Address of Custodial Institution: _____

Account Number: _____

Name of Primary Beneficiary: _____

Name of Contingent Beneficiary: _____

Location of statements: _____

2. Participant's Name: _____

Name of Custodial Institution: _____

Address of Custodial Institution: _____

Account Number: _____

Name of Primary Beneficiary: _____

Name of Contingent Beneficiary: _____

Location of statements: _____

3. Participant's Name: _____

Name of Custodial Institution: _____

Address of Custodial Institution: _____

Account Number: _____

Name of Primary Beneficiary: _____

Name of Contingent Beneficiary: _____

Location of statements: _____

4. Participant's Name: _____

Name of Custodial Institution: _____

Address of Custodial Institution: _____

Account Number: _____

Name of Primary Beneficiary: _____

Name of Contingent Beneficiary: _____

Location of statements: _____

Certificates of Deposit

1. Name of Financial Institution: _____

Address of Financial Institution: _____

Account Number: _____

Type of Account: _____

Name of Primary Beneficiary: _____

Name of Contingent Beneficiary: _____

2. Name of Financial Institution: _____

Address of Financial Institution: _____

Account Number: _____

Type of Account: _____

Name of Primary Beneficiary: _____

Name of Contingent Beneficiary: _____

SCHEDULE K—BUSINESSES, PARTNERSHIPS, AND JOINT VENTURES

For all businesses and partnerships in which you own an interest, please supply the requested information, including the exact title of ownership:

EXAMPLES: John Doe and Jane Doe as Joint Tenants
John Doe and Jane Doe, Husband and Wife
Jane Doe as Separate Property

Alternatively, please provide a copy of the Schedule K1 filed with your most recent Federal income tax return, which will contain all of the requested information.

Closely-Held Corporation:

Please obtain balance sheet and profit and loss statements for five most recent years. If possible, please provide a copy to us.

Name of Corporation: _____

Address: _____

Exact Title of Ownership: _____

Manner of Ownership Interest (circle one):

Joint Tenancy	Tenants in Common	Community Property	Husband's Separate	Wife's Separate
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Percentage of Ownership: _____

State of Incorporation: _____

Date of Incorporation: _____

S or C Corporation? _____

Capitalization:

Preferred stock:

Number of Shares Authorized: _____

Number of Shares Outstanding: _____

Is there more than one class of preferred stock? _____

Is the stock cumulative or noncumulative? _____

Common stock:

Number of Shares Authorized: _____

Number of Shares Outstanding: _____

Is there more than one class of preferred stock? _____

Debentures:

Amount: _____

Maturities: _____

Interest dates: _____

Subordinated: _____

Other Debts: _____

Ownership of Stock:

	<u>Owner</u>	<u>Number of Shares/Type</u>	<u>Relation (if any) to You</u>
1.	_____	_____	_____
	_____	_____	_____
2.	_____	_____	_____
	_____	_____	_____

	<u>Owner</u>	<u>Number of Shares/Type</u>	<u>Relation (if any) to You</u>
3.	_____	_____	_____
	_____	_____	_____
4.	_____	_____	_____
	_____	_____	_____
5.	_____	_____	_____
	_____	_____	_____

Is there a buy-sell agreement? _____

(If so, please attach a copy of the agreement)

Who are parties to the agreement? _____

What is the sale price or how is it determined? _____

When must/may sale take place?

At death

Retirement

Anytime

Lifetime purchase

Disability

How will the sale be funded? _____

Are there outstanding options on the stock? _____

Who holds them? _____

What are their terms? _____

Do you desire to have your family retain:

Control of corporation?

Minority interest?

Income interest?

If so, which members of the family? _____

If there have been recent sales of substantial blocks of the stock:

What were the sales prices? _____

Who were the buyers? _____

Is there a pension plan? _____

Is there a profit-sharing plan? _____

Who are the beneficiaries? _____

Are there other employee plans (If so, attach copies of plans)? _____

Is there key man life insurance? _____

Who is the Owner? _____

Who is the Beneficiary? _____

Partnership Interests

If there is a written partnership agreement, please attach a copy. Also, please attach balance sheet and earnings statements for preceding five years.

1. Name of Partnership: _____

Address of Partnership: _____

Full Name of Owner as It Appears on Partnership Records: _____

Manner of Ownership Interest (circle one):

Joint Tenancy	Tenants in Common	Community Property	Husband's Separate	Wife's Separate
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Nature of Partnership Interest (circle one): General Partner Limited Partner

Amount of Original Investment: _____

Name of General Partner: _____

Address of General Partner: _____

Is there an agreement for continuation of the business on the retirement, death, or disability of a partner? _____

Will payments be made to heirs? _____

When? _____

If in installments, at what rate? _____

How will value of interest be determined? _____

Does the partnership hold insurance on the lives of the partners? _____

(Please attach copies of agreements and policies)

Amounts: _____

Beneficiaries: _____

Do partners have insurance on each other's lives? _____

Is there a business liquidation agreement? _____

(If so, please attach copy of agreement)

Is there a partnership Keogh plan? _____

(If so, please attach copy of plan)

Who are beneficiaries at death? _____

2. Name of Partnership: _____

Address of Partnership: _____

Full Name of Owner as It Appears on Partnership Records: _____

Manner of Ownership Interest (circle one):

Joint Tenancy	Tenants in Common	Community Property	Husband's Separate	Wife's Separate
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Nature of Partnership Interest (circle one): General Partner Limited Partner

Amount of Original Investment: _____

Name of General Partner: _____

Address of General Partner: _____

Is there an agreement for continuation of the business on the retirement, death, or disability of a partner? _____

Will payments be made to heirs? _____

When? _____

If in installments, at what rate? _____

How will value of interest be determined? _____

Does the partnership hold insurance on the lives of the partners? _____

(Please attach copies of agreements and policies)

Amounts: _____

Beneficiaries: _____

Do partners have insurance on each other's lives? _____

Is there a business liquidation agreement? _____

(If so, please attach copy of agreement)

Is there a partnership Keogh plan? _____

(If so, please attach copy of plan)

Who are beneficiaries at death? _____

3. Name of Partnership: _____

Address of Partnership: _____

Full Name of Owner as It Appears on Partnership Records: _____

Manner of Ownership Interest (circle one):

Joint Tenancy Tenants in Common Community Property Husband's Separate Wife's Separate

Nature of Partnership Interest (circle one): General Partner Limited Partner

Amount of Original Investment: _____

Name of General Partner: _____

Address of General Partner: _____

Is there an agreement for continuation of the business on the retirement, death, or disability of a partner? _____

Will payments be made to heirs? _____

When? _____

If in installments, at what rate? _____

How will value of interest be determined? _____

Does the partnership hold insurance on the lives of the partners? _____

(Please attach copies of agreements and policies)

Amounts: _____

Beneficiaries: _____

Do partners have insurance on each other's lives? _____

Is there a business liquidation agreement? _____

(If so, please attach copy of agreement)

Is there a partnership Keogh plan? _____

(If so, please attach copy of plan)

Who are beneficiaries at death? _____

Sole Proprietorships

Please attach balance sheet and income statements for last five years.

1. Name of Business: _____

Address: _____

Will a family member continue the business after you die or retire?

Yes No

If yes, who? _____

If no, do you intend to:

Sell the business? _____

Have your executor sell the business? _____

If the business is to be continued by a family member, have you made any arrangement through life insurance or otherwise to cover estate tax costs, etc.,

attributable to the business? Yes No

If yes, what are these arrangements? _____

Does the business have a pension plan? _____

(If so, please attach a copy of the plan)

Does the business have a profit sharing plan? _____

(If so, please attach a copy of the plan)

SCHEDULE L—MISCELLANEOUS LIABILITIES

Please describe any liabilities not disclosed on prior schedules.

	Separate Debt	Joint Debt
Leasehold liability	_____	_____
	_____	_____
	_____	_____
Joint notes	_____	_____
	_____	_____
	_____	_____
Endorsement of notes for others	_____	_____
	_____	_____
	_____	_____
Disputed damage (tort) liability	_____	_____
	_____	_____
	_____	_____
Taxes due	_____	_____
	_____	_____
	_____	_____
Disputed past taxes	_____	_____
	_____	_____
	_____	_____

